NITROGEN-14 NUCLEAR MAGNETIC RESONANCE OF AZOLES AND THEIR BENZO-DERIVATIVES

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Abstract-The t4N NMR spectra of some axoles. diaxoles, triaxoles, tetraxoles, oxazoles, thiaxoles, and some bicyclic benzo-derivatives show a linear relationship between the chemical shifts and the SCF-PPP-MO π -charge densities. The resonances of the N-methyl nitrogen atoms are very charac**teristic of the type of ring system and may be used for ditinguishiig between tautomeric forms. The effects of tautomeric equilibria on the appearance of the i4N spectra are considered. The average excitation energy approximation of the theory of chemical shifts is found to be reliable for the 14N** shifts of pyrrole-type nitrogen atoms in azole ring systems, even if the effect of polarisation in the **o-bonds is ignored.**

INTRODUCTION

So FAR there have been no systematic studies of the 14N NMR spectra of five-membered aromatic or semi-aromatic rings containing one or more nitrogen atoms and, possibly, other hetero-atoms such as oxygen and sulphur. However, some data are available in the literature for the simplest representatives of this group.^{1,2} We have recently reported⁸ a relationship between the ¹⁴N chemical shift and π -electron distribution in some six-membered hetero-atomatic rings. This shows that the nitrogen resonance moves to higher magnetic fields in a roughly parallel manner to the increase in π charge density at the nitrogen atom. It is interesting from both the practical and theoretical point of view to examine the ¹⁴N spectra of azoles since this group of molecules includes a large variety of nitrogen bonding states. The latter may be divided into two main types, type α in which the nitrogen atom is bonded to three atoms which are either in a plane or are very close to a plane, and the type β which contains examples of the nitrogen atom directly bonded to only two other atoms. In the approximation that divides the electron valence shell of a molecule into π and σ -orbital systems, the type- α nitrogen atom supplies two electrons to the π -orbital delocalized system whilst only one is supplied by each nitrogen atom of type-p.

Both types of nitrogen bonding environment may be characterized in this approximation by the π -charge density at the nitrogen atom, q_{π} , by the mobile bond orders, p_{NA}^T and p_{NB}^T , and by a set of three σ -bonds as before.⁸ We shall assume no σ -bond polarization. The nitrogen core in the π -orbital system of type- α is much more electron-attracting than that of type- β and the corresponding q_{N}^{x} values are higher for α from any sort of molecular orbital calculations, whereas the net charge on the nitrogen atom is positive for α and negative for type- β .

If there are nitrogen atoms of both types in a molecule and if C is a hydrogen atom, then a tautomeric equilibrium between two or more forms may exist. The resulting ¹⁴N NMR spectrum should either be a dynamically averaged representation of the system or a superposition of the spectra of the individual tautomers.

Before proceeding into more theoretical aspects of the ¹⁴N chemical shifts of azoles, it seems advisable to consider empirical relationships depending upon the structure of the ring system in this group of compounds. Such correlations may have a practical value independent of the possible success or failure of a theoretical explanation.

Empirical correlations

The experimental results of 14N NMR measurements of some azoles are collected in Table 1. The molecules examined were chosen according to certain schemes in order to estimate the possible effects of tautomerism, to follow changes resulting from the introduction of another hetero-atom in various positions of the 5-membered ring system, from the extension of the aromatic system by additional benzene rings, etc.

Two distinct groups of 14N chemical shifts are observed in the spectra. We exclude from consideration here systems where tautomerism might be present, and treat these in the next section. **Type-a** nitrogen atoms are characterized by a high field shift, usually about 200 ppm, relative to MeNO_2 . In some cases, where a larger number of electron-attracting centres is present in the ring system, the shift may be reduced to about 100 ppm, but is always much higher than those for type- β nitrogen atoms. The half-height width of the $14N$ resonance signal is usually much smaller for type- α , particularly for N-Me derivatives. The range of the shifts, $+100$ to $+260$ ppm in this group of nitrogen atoms is large enough for the resonance to constitute a valuable

TABLE 1-Continued

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^a positive sign denotes high-field direction

 δ apparent width if there is more than one resonance in the signal

^c a doublet, $J(^{14}N-H) = 58 \pm 1$ Hz

^d tentative assignments; see text

means of identification of azole ring systems, especially of tautomeric forms, e.g.

In general, the chemical shifts in this group may be classified according to the number of nitrogen atoms in the 5-membered ring:

where the shifts are all to high field with respect to $MeNO₂$ as reference.

An expansion of the aromatic system of an azole by condensed benzene rings usually results in a high-field shift of the 14N resonance signal of the N-Me group, or indeed of a β -type nitrogen (II \rightarrow XXI \rightarrow XXXV; IV \rightarrow XXIII; VI \rightarrow XXVI; XVI \rightarrow XXX; $XIX \rightarrow XXXIII$) except for cases where the resulting structure is of a quinoid character (XXIV, XXIX). This is similar to the trend observed in the 14N NMR spectra of sixmembered hetero-aromatic rings.3

The effect of methyl-substitution at the nitrogen atom, on its ¹⁴N chemical shift, does not seem to be very significant. It is close to zero for pyrrole (I, II) and certainly not larger than solvent effects. It is also within the range of the experimental error for indole (XX, XXI), and carbazole (XXXIV, XXXV), but the range is larger because of the considerable width of the resonances.

One should note that the 14N resonances for pyrrole (I) in dioxan and MeOH appear as resolved doublets spaced at 58 \pm 1 Hz. This indicates that there is no rapid exchange of protons at the pyrrole rings under the specified conditions. The spacing is smaller than the recently reported value of the ¹⁴N-H coupling constant of $69.5 + 1$ Hz in pyrrole and 68.6 ± 1 Hz in tetradeuteropyrrole⁴ calculated from the proton resonance spectra. It is much closer to the previously reported values of $55 + 5$ Hz⁵ and about 60 Hz⁶ for pyrrole, but this may also be an effect of quadrupole relaxation.⁴

Type- β nitrogen atoms in azole rings are characterized by lower values of the ¹⁴N chemical shift ranging from zero to $+140$ ppm relative to MeNO₂. In many instances, if there are two or more such atoms in the ring system, only one signal is observed for them due to overlap. However, some general trends may be discerned. If there are two or more adjacent nitrogen atoms, a low-field shift is observed relative to the case in which the nitrogen atoms are separated. The same effect is found with oxygen atoms, but not with sulphur.

Sulphur-containing ring systems are different in this respect, since the resonances of thiazoles (XVIII, XXXI, XXXII) occur at lower fields than those of isothiazoles (XIX, XXXIII). In all cases, however, there is a considerable relative shift for each pair of 1,2- and 1,3-diazoles, oxazoles and thiazoles which is advantageous from the point of view of spectral identification.

Effects of tautomerism in some labile azole systems

Some of the ring systems examined here, such as diazoles, triazoles, and tetrazoles, may exhibit tautomerism which should be reflected in the appearance of their ¹⁴N NMR spectra. The simplest cases are pyrazole (III) and imidazole (V) where the possible tautomers are energetically equivalent.

If there is fast proton migration, the $14N$ resonance signal is expected to occur at $(a + b)/2$, where a and b refer to the positions of the signals of the a and b type nitrogen atoms indicated above. The corresponding N-Me derivatives (IV, VI) should provide close estimates of the respective a and b chemical shifts. Table I indicates that the single signals in the ¹⁴N spectra of III and V are located, within experimental error and possible solvent effects, at positions corresponding to the mean values of the signals of IV and VI, respectively.

The $1,2,4$ - and $1,2,3$ -triazole systems (VII, X) involve more complicated equilibria, e.g. for VII

with an analogous situation for the 1,2,3-triazole (X) system. In this case even fast proton exchange must lead to at least two resonances, one at $A = (1 - 2x)a + x(c + e)$ and the other at $B = (1 - 2x)b + 2xd$. The value of x may be expressed in terms of the shifts as:

$$
x = \frac{(2A + B) - (2a + b)}{2[(c + d + e) - (2a + b)]}
$$
 (1)

For 1,2,4_triazole (VII) only one resonance is observed due to overlap of the resonance signals. If we assume that the position of its maximum is at a weighted average value, $(2A + B)/3$, and that a, b, $(c + d)/2$, and e are represented by the corresponding shifts observed for (VIII) and (IX), then for solutions in MeOH eq. 1 yields $x = 0.3$. However, the maximum possible error calculated from the component errors given by the data in Table I is ± 0.2 , which is mostly dependent on the error in the shift $(2A + B)/3$. For 1,2,3-triazole (X) the situation is more favourable since two signals are observed in its $14N NMR$ spectrum.

Comparison with the spectral data for XI and XII suggests that the symmetrical isomer is dominant in the equilibrium. From eq. 1 and a consideration of possible errors a range of 70 to 100 mole $\%$ of the symmetrical tautomer is calculated.

The tetrazole system (XIII) may include four tautomers:

which, at the limit of fast proton migration, should give two signals, one at $A =$ $x(a + d) + \frac{1}{2}(1 - 2x)(f + g)$ and the other at $B = x(b + c) + \frac{1}{2}(1 - 2x)(e + h)$. The value of x is related to the observed shifts by eq. 2.

$$
x = \frac{(A+B)-\frac{1}{2}(e+f+g+h)}{(a+b+c+d)-(e+f+g+h)}
$$
 (2)

Two resonances are discernible in the $14N$ NMR spectrum of tetrazole XIII. If these are compared with the spectra of XIV and XV a close resemblance is observed with that of XV. No significant solution can be obtained by inserting the observed shifts into eq. 2. Therefore, it seems that the tautomer corresponding to XV is dominant and that there is no fast proton migration which could lead to signals at $A = (a + d)/2$ and $B = (b + c)/2$. This is supported by the fact that the high-field signal corresponds, within experimental error, to that of XV, and the low-field signal has a maximum at a weighted average of the lower-field shifts of XV. The assignments of the latter are based on the low-field shift effect of adjacent nitrogen atoms so that the value of $+55$ ppm is attributed to the nitrogen atom placed between NMe and CH, and the value of $+10$ ppm to the remaining pair of adjacent nitrogen atoms on the other side of NMe. In principle, if there were a proportionality between the number of ¹⁴N nuclei and the integral intensity of the corresponding ¹⁴N NMR signal, then a fast proton migration would lead, in either case, to an intensity ratio of $1:1$; otherwise, the ratio would be $3:1$. However, there are considerable differences in the relaxation times (and signal widths) among the $14N$ nuclei in tetrazoles so that large deviations from the proportionality should be expected, not to speak of the possible spin exchange effects on the intensities. In view of this, the observed 1:20 \pm 0.5 ratio of integral intensities in the spectrum of XIII is not very helpful in the description of the tautomeric system of tetrazole.

These results are different from those reported? from proton resonance studies on acetone solutions of labile azoles where rather stable complexes of the solutes with the solvent were observed.

Correlation of $14N$ chemical shifts with π -charge distributions

It has been shown that the ¹⁴N chemical shifts in 6-membered heteroaromatic systems correlate reasonably well with the SCF-MO π -charge densities calculated by the Pariser-Parr-Pople approximation.³ It has also been indicated³ that the shifts may be satisfactorily explained in terms of the average excitation energy (AEE) approximation.⁸ Similar methods have been used in the present work in an attempt

FIG 1.

to find possible relationships between the electron distribution in azole rings and their ¹⁴N NMR spectra. Table II presents the π -charge densities and mobile bond orders calculated, as previously,³ by the SCF-PPP-MO method. We have used parameters for the Me groups which consider them as heteroatoms providing additional π -electron centres in the σ -bond core. The most striking results of such calculations when compared with the experimental ¹⁴N chemical shifts, is that a fairly linear correlation exists (Fig. 1) between the calculated π -charge densities, q_N, and

the observed shifts which include both the α - and β -types of nitrogen atom in azole rings. The charges were averaged for tautomeric systems. It should be pointed out that this correlation does not provide evidence of a significant contribution by the diamagnetic term to the shifts since the highest values of q_{σ} correspond to the α -type nitrogen atoms which are likely to carry the smallest over-all electron density. This seems to indicate that the paramagnetic term provides the dominant contribution to changes in the chemical shifts of the 14N nuclei in azoles.

In the AEE approximation, the magnitude of the paramagnetic term, σ^{pq} , in the expression for the screening constant⁸ is proportional to the mean reciprocal cube of the 2p-orbital radius, $\langle r^{-3} \rangle_{2p}$, and to the ΣQ_{NX} term representing the distribution of $2p$ -electrons throughout the bonding system which includes the nitrogen atom. The $\langle r^{-3} \rangle_{2p}$ term, called the orbital expansion term, may be calculated from Slater-type atomic orbitals as9

$$
\langle r^{-3} \rangle_{2p} = \frac{1}{3} \left(\frac{Z_{2p}}{na_0} \right)^3 \tag{3}
$$

where Z_{2p} is the effective nuclear charge, n is the principal quantum number, and a_0 is the Bohr radius. The formulae for Z_{2p} are given in Table II following, as before,³ Emsley⁹ who used the rules of Burns^{9*a*}. Another approach to the evaluation of the orbital expansion term is to use equation reported by Velenik and Lynden-Bell: 10

$$
\langle r^{-3} \rangle_{2p} = 3.099 - 0.732 Q_{\rm N} \tag{4}
$$

where Q_N is the local charge at the nitrogen atom. In the case of the quantity $\sum Q_{NX}$ the formulae needed in this work⁸ are given in Table II.

The plot of $(Z_{2p})^3\Sigma Q_{\rm NX}$ versus the ¹⁴N chemical shift (Fig. 2) contains two groups of points corresponding to the two types of nitrogen atoms present in azole ring systems. The character of the correlations with $(Z_{2p})^3$ and ΣQ_{NX} taken separately (not shown on the figures) is much the same so that it seems that both of these factors act in the same direction for these molecules. The overall correlation (Fig. 2) for the α -type nitrogen atoms is very nearly linear. For the β -type nitrogen atoms, the spread of the experimental points on the plot is larger, nevertheless the general trend is evident. Thus, two different values of the average excitation energy would have to be assigned to the two types of nitrogen atoms; however, the AEE approximation appears to work well in either case, especially for the α -type. Essentially the same relationships are obtained if $\langle r^{-3} \rangle_{2p}$ is calculated from eq. 4. Thus, the two methods of evaluation of the orbital expansion term are practically equivalent in the approximation used in this work.

In spite of all the assumptions and approximations involved in the calculation of the electron distribution and its transmission to the l4N NMR **spect. a,** the correlations found here may provide a basis for understanding the relative nitrogen chemical shifts within the azole group of molecules. A comparison with the data³ for 6membered hetero-aromatic rings suggests that similar relationships between the ¹⁴N chemical shifts and the electronic structure are observed for the entire group of fiveand six-membered hetero-aromatic rings.

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TABLE 2-Continued

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^a atoms involved in the bonds are numbered in parentheses; π -bond orders for the N-Me result from the assumed model of the Me group as a π -electron centre in the calculations

^b calculated as $2 + \frac{4}{9}(\bar{p}_N^B \bar{A} + p_N^B \bar{B} + p_N^B \bar{C})$ for pyrrole-type nitrogen atom, and $\frac{32}{9} + \frac{4}{9}(\bar{p}_N^B \bar{A} + p_N^B \bar{B} + q_N^B)$ otherwise

^{*f*} calculated as $(4.15 - 0.35q_N^2)^3$ for pyrrole-type nitrogen atom, and $(3.75 - 0.35q_N^2)^3$ otherwise

^d calculated as $3\cdot099 - 0.732(q_0^{\pi} - 2)$ for pyrrole-type nitrogen atom, and $3\cdot099 - 0.732(q_0^{\pi} - 1)$ otherwise; eq. 4.¹⁰

FIG 2.

EXPERIMENTAL

Some of the substances used in the ¹⁴N NMR measurements are available commercially (I, III, V, XVIII, XX, XXV, XXVII, XXXI, XXXIII). They were purified by conventional methods before the NMR measurements were taken. Others were prepared by published procedures (II,¹¹ IV,¹² VI,¹³ VIII.14 IX.15 X.16 XI,16 XII,16 XIII,17 XIV,18 XV,18 XVI,19 XVII,20 XIX,21 XXI,22 XXII,23 XXIII,34 XXIV.³⁴ XXVI.²⁴ XXVIII.²⁵ XXIX.²⁵ XXX.²⁶ XXXII.²⁷ XXXIV²⁸).

The ¹⁴N NMR spectra were measured at 4.33 MHz. The technique was the same as before.³ The approximate probe temperature was 32°. MeNO₃ was used as an internal standard. The direction of increasing magnetic field was assumed to be positive in the denomination of the chemical shifts.

The calculation of the chemical shifts and half-height signal widths from the spectra containing two or more overlapping ¹⁴N resonances was carried out by a line-shape analysis which involved the least-squares fitting of a curve represented by a combination of Lorentzian lines. The latter included both the 2 kHz modulation sidebands in the inverted absorption mode²⁹ and the central band in the upright absorption mode superimposed on to the background line (eq. 5),

$$
F(v) = A + Bv + \sum_{\mathbf{a}} C_{\mathbf{a}} b_{\mathbf{a}}^2 \left(\frac{1}{b_{\mathbf{a}}^2 + (v_{\mathbf{a}} - v)^2} + \frac{-k}{b_{\mathbf{a}}^2 + (v_{\mathbf{a}} + M - v)^2} + \frac{-k}{b_{\mathbf{a}}^2 + (v_{\mathbf{a}} - M - v)^2} \right) \tag{5}
$$

where v is the frequency, $A + Bv$ is the equation of slanting background, C_n is the maximum height of a separated signal relative to the true background line, $2b_n$ is the half-height width, v_n is the chemical shift of nucleus n , M is the modulation frequency (2014 Hz in our case), and k is a proportionality factor of the sideband-to-centreband intensity (0.7 in our measurements). The zeroth order approximation for the iterative fitting of the curve was found by inspection of the spectra at high RF power settings where broad resonances were clearly visible in the central band and narrow resonance signals were far from saturated in the sidebands. The errors quoted in Table 1 for such systems are standard deviations of the least-squares fit.

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